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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/656,313	09/05/2003	Harald Bauer	2002DE130	8221

25255 7590 07/18/2005

CLARIANT CORPORATION
INTELLECTUAL PROPERTY DEPARTMENT
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CHARLOTTE, NC 28205

EXAMINER

HUANG, MEI QI

ART UNIT PAPER NUMBER

1713

DATE MAILED: 07/18/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/656,313

Applicant(s)

BAUER ET AL.

Examiner

Mei Q. Huang

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 24 May 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-5, 17, 21-23 and 40-42 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-5, 21-23 and 40-42 is/are rejected.
- 7) ☒ Claim(s) 17 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date <u>05/24/2005</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This Office Action is in response to the Amendment filed on May 24, 2005.
Claims 1, 23 and 41 have been amended, claims 6-16, 18-20 and 24-39 are cancelled.
Claims 1-5, 17, 21-23 and 40-42 are now pending.
2. The objection to the Specification has been obviated by appropriate amendment of the specification.
3. The objection to the claim 23 has been obviated by appropriate claim amendment.

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Claim 1, 23 and 42 are rejected under 35 U.S.C. 102(b) as being anticipated by Weil et al. (US Pat. 5,578,666) as evidenced by Langford (US Pub. 2001/0011112).

The prior art to Weil et al. provides a flame retardant composition comprising organophosphate (Abstract). Paraffin waxes are also included in the composition (column 4, lines 27-30). Although the paraffin waxes are used as a moisture resistance aid additive in the prior art application, the prior art flame retardant composition would have the low dust level because paraffin waxes have the ability to suppress dust, which is taught by Langford (US Pub. 2001/0011112), on page 2, [0012] and page 4, [0046].

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The 102 rejection over multiple references has been held to be proper when the extra references are cited to show that a characteristic not disclosed in the primary reference is inherent. See *Atlas Power Co. v. IRECO, Inc.*, 190 F.3d 1342, 1349, 51 USPQ2d 1943, 1948 (Fed. Cir. 1999). Also see MPEP §§ 2131.01.

As to claims 23 and 42, Weil et al. teach that each additive in the flame retardant composition is about 5 wt% (column 3, lines 37-38) and the organophosphorus flame retardant component is greater than 20 wt% of the total weight of the flame retardant material (column 3, lines 49-50), which gives 1:4 as the maximum ratio of the additive (e.g. paraffin wax) to the organophosphorus flame retardant component.

Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

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8. Claims 2-5 are rejected under 35 U.S.C. 103(a) as being unpatentable over Weil et al. (US Pat. 5,578,666) as applied to claim 1 above, and further in view of Jeneweine et al. (US Pat. 6,365,071).

The prior art to Weil et al. is adequately presented in above in this Office Action and is incorporated herein by reference. Weil et al. also teach that the composition may be blended with a normally flammable thermoplastic or elastomeric crosslinked polymer to confer flame retardant (Weil' 666, column 2, lines 11-13). The normal flammable polymers include polyesters (Weil' 666, column 2, line 56). The difference between the prior art and the present application is that the organophosphorus used by Weil et al. is different from the instantly claimed phosphinic salts.

The prior art to Jeneweine et al. is adequately set forth in the previous Office Action dated January 18, 2005 and is incorporated herein by reference. Jeneweine et al. teach a flame retardant combination comprising phosphinic salts which meet the requirement of the instant claims 2-5. See the previous Office Action dated January 18, 2005, page 7-8. Jeneweine et al. disclose that the invented flame retardant combination is used for thermoplastic polymers (Abstract). Jeneweine et al. also disclose that calcium phosphinates and aluminum phosphinates have proven particularly effective in polyesters (column 2, lines 31-32).

Accordingly, it would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the phosphinic salt, as taught by Jeneweine et al., in place of the organophosphate in Weil et al.'s flame retardant composition formulation, for polyester thermoplastic polymer in particular, based on Jeneweine et

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als' teaching of such phosphinic salt being particularly effective in polyesters as a flame retardant and motivated by a reasonable expectation of success.

9. Claims 21-22 and 40-41 are rejected under 35 U.S.C. 103(a) as being unpatentable over Weil et al. (US Pat. 5,578,666) as applied to claim 1 above, and further in view of Gareiss et al. (US Pat. 6,084,012).

The prior art to Weil et al. is adequately presented in above in this Office Action and is incorporated herein by reference. The difference between the prior art and the present application is that Weil et al. do not disclose the requirement for the particle size of the flame retardant composition in the blending process.

The prior art to Gareiss et al. is adequately set forth in the previous Office Action dated January 18, 2005 and is incorporated herein by reference. The prior art to Gareiss et al. relates to a flame resistant thermoplastic molding material comprising (A) a thermoplastic polymer, (B) red phosphorus, and (C) other additives (Abstract). Gareiss et al. teach that the mean particle size of the phosphorus particles distributed in the molding compositions is usually up to 2mm, preferably from 0.0001 to 0.5 mm (0.1 to 500 μm) (column 7, line 30-32) as required by Claim 21. Gareiss et al. further teach, in their working examples, that the mean particle size of the phosphorus is 45 μm (column 13, line 39) as required by Claim 40.

As to the limitations of the bulk density in Claims 22 and 41, both Weil et al. and Gareiss et al. are silent as to the bulk density of the flame-retardant composition. However, given the substantially identical flame-retardant composition between the prior

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arts and the present invention, it is the examiner's position to believe that the prior art composition must inherently possess the same bulk density. Since the PTO does not have proper means to conduct experiments, the burden of proof is now shifted to the applicant to establish an unobviousness difference. *In re Best*, 562 F.2d 1252, 195 USPQ 430 (CCPA 1977); *In re Fitzgerald*, 205 USPQ 594 (CCPA 1980).

Allowable Subject Matter

10. Claim 17 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

The following is a statement of reasons for the indication of allowable subject matter: The instant claim is allowable over closest references to Weil et al. (US Pat. 5,578,666), Waldron et al. (US Pub. 2003/0073749), and Pullen (US Pat. 5,863,456). However, Weil et al. do not include alkylalkoxylates as specified by the instant claim 17 and both Waldron et al. and Pullen use ethoxylated alcohols in dust control composition formulation but the compositions are not flame retardant composition comprising organophosphorus and the ethoxylated alcohols are used in aqueous form.

Response to Arguments

11. Applicant's arguments with respect to claims 1-5, 21-23 and 40-41 have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

12. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

13. The prior art made of record but not relied upon is considered pertinent to applicant's disclosure. The following references have been cited to show the state of the art with respect to the study of flame retardant composition.

US Pub. 20040006168 to Wagner et al.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mei Q. Huang whose telephone number is (571) 272-3549. The examiner can normally be reached on 8am - 4pm, Mon. - Fri..

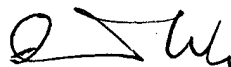
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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Wu can be reached on (571) 272-1114. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Mei Q. Huang
Examiner

July 13, 2005



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SUPERVISORY PATENT EXAMINER
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